FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: March 10, 2017 - 5:01 PM Version 5.0

User Selection Criteria

Location: New York, all activities Activity Location: None Chosen

Handler ID: NYN008013161 Group of IDs: None Chosen

Handler Name:

Handler Universe: All Facilities Regardless of Universe

Determined Date Range: From: 10/01/1980 To: 03/10/2017

Location County Code: None Chosen Evaluation Type:

Location City: Focus Area:

Location Zip Code: Violation Type:

State District: None Chosen Display Code Descrip.: Yes

Sort Order: Region, State, Handler Name Display Universes: Yes

Results

Data meeting the criteria you selected follows.

Total Pages: 4 Total Handlers:1

Report Description

This report presents available information from the Resource Conservation and Recovery Act Information System (RCRAInfo) about compliance evaluations, violations, and enforcement actions meeting the criteria supplied by the user. Evaluations showing no violations does not always indicate that no violations were determined. Violation without enforcement actions does not always mean no enforcement action will be issued. In order to avoid releasing enforcement sensitive information to the public the following information is not shown on the report: pending civil / judicial referrals, criminal actions and referrals, and State to EPA referrals; all other enforcement actions are released.

Report Information

Name: cme foia.rdf

Developed by: EPA Headquarters, Office of Enforcement and Compliance Assurance

Deployed: June 2006 Last Updated: May 2012

Contact: rcrainfo.help@epa.gov

Tables Used: cmecomp3, ccitation3, hreport_univ5, lu_citation, lu_state, hid_groups

Libraries: none

FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: March 10, 2017 - 5:01 PM Page 2

AMERICAN STOCK EXCHANGE			County Name / Code: NEW YORK / NY061				NYN00801316 ²		
Location: 86 TRINITY	PL; NEW YOR	K, NY 10006					REGION 02		
Mailing: 86 TRINITY PL; NEW YORK, NY 10006									
Activity Location: NY		State District: NYSDEC R2	Accessibility:	No	on-Notifier:	Extract Flag	: Y Active Site: N		
Generator: Short-Term Gen: N	N	Transporter: N Transfer Facility: N	Operating TSDF: Offsite Receiver:	 N	IC In Place: HSM:	N N	El Indicator (HE / GW):N / N Subpart K:		
Full Enforcement: CA Wrkld: Active State Gen:	N N	Converter: State TSDF:	State Unaddress State Addressed State SNC w/Cor	SNC: N	EPA Unaddressed SNC EPA Addressed SNC: EPA SNC w/Comp Sch	N			
Evaluations With No Vi	olations:								
CEI Evaluation Citizen Com	10/14/2003 plaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: 001 Not Subtitle 0	Person: R2STP C: NO Day Zero	Branch: RCB	Found Violation: U Focus Area:		

Total Number of Handlers:

1

Total Number of Activity Locations:

1

^{*} End of Report *

^{*} Note: Penalty amount may not reflect all violations cited.

Report run on: March 10, 2017 - 5:01 PM

Description of codes used on the report:

Universes	Description of Universes	
Generator	Indicates that the facility is a Large Quantity Generator (LQG), Small Quantity Generator (SQG), Conditionally Exempt Small Quantity Generator (CEG), or not a generator (N).	
Transporter	Indicates that the facility Transports waste subject to RCRA regulations. ('Y' indicates that the facility is in this universe).	
Operating TSDF	Indicates that the facility is a Treatment, Storage or Disposal facility subject to any type of enforcement. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)	
IC in Place	Indicates that the facility has Institutional Controls in place. ('Y' indicates that the facility is in this universe).	
El Indicator (HE / GW)	Indicates that the facility has controls in place for Environmental Indicators. HE - Human Exposures ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist) GW - Groundwater Release ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist)	
Short-Term Gen	Indicates that the facility is a short term or one time event generator and not generating from ongoing processes.	
Transfer Facility	Indicates that the facility transfers hazardous waste.	
Offsite Receiver	Indicates that the facility, whether public or private, currently accepts hazardous waste from another site (site identified by a different EPA ID).	
HSM	Indicates that the facility manages hazardous secondary material(s) (e.g. spent material, by-product or sludge) that when discarded, would be identified as hazardous waste.	
Subpart K	Indicates that the facility has opted into the subpart K laboratory rule. It then specifies the type of facility (C - College or University; H - Teaching Hospital; N - Non-profit Research Institute; W - withdrawal from the rule)	
Full Enforcement	Indicates that the facility is a Treatment, Storage or Disposal facility which is part of the Full Enforcement universe. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)	
CA Workload	Indicates that the facility is part of the Corrective Action Workload universe. ('Y' indicates that the facility is in this universe).	
Active State Gen	Indicates that the facility is an Active State Generator. ('Y' indicates that the facility is in this universe).	
Converter	Indicates that the facility is a Converter Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)	
State TSDF	Indicates that the facility is a State Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)	
State Unaddressed SNC	Indicates that the facility is a State Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).	
State Addressed SNC	Indicates that the facility is a State Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).	
State SNC w/ Compl. Sched	Indicates that the facility is a State Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).	
EPA Unaddressed SNC	Indicates that the facility is an EPA Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).	
EPA Addressed SNC	Indicates that the facility is an EPA Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).	
EPA SNC w/ Compl. Sched	Indicates that the facility is a EPA Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).	

^{*} Note: Penalty amount may not reflect all violations cited.

Report run on: March 10, 2017 - 5:01 PM

Description of codes used on the report:

ACCESSIBILITY - indicates the reason why the handler is not accessible for normal RCRA tracking and processing (previously called Bankrupt Indicator):					
Code	Description				
В	indicates that the handler has filed for bankruptcy and bankruptcy litigation is in process.				
С	indicates that all RCRA responsibilities for permitting/closure, corrective action, and compliance monitoring and enforcement at the facility have been formally transferred to the CERCLA program or state equivalent.				
F	indicates that all responsible parties (owners/operators) for the handler have fled the country or are otherwise not available for prosecution.				
L	indicates that the handler's case is tied up in litigation to the extent that further progress in achieving RCRA compliance through normal enforcement is not possible.				

NON-NOTIFIER - indicates that the handler has been identified through a source other than Notification and is suspected of conducting RCRA-regulated activities without proper authority:					
Code	Description				
E	indicates that the handler was initially a non-notifier, subsequently determined to be exempt from requirements to notify.				
0	indicates that the handler is a former non-notifier.				
Х	indicates that the handler is a non-notifier.				

Evaluation Type	Type Description
CEI	COMPLIANCE EVALUATION INSPECTION ON-SITE

^{*} Note: Penalty amount may not reflect all violations cited.